

# Threshold voltage shift in organic field effect transistors by dipole monolayers on the gate insulator

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We demonstrate controllable shift of the threshold voltage and the turn-on voltage in pentacene thin film transistors and rubrene single crystal field effect transistors (FET) by the use of nine organosilanes with different functional groups. Prior to depositing the organic semiconductors, the organosilanes were applied to the SiO<sub>2</sub> gate insulator from solution and form a self-assembled monolayer (SAM). The observed shifts of the transfer characteristics range from -2 to 50 V and can be related to the surface potential of the layer next to the transistor channel. Concomitantly the mobile charge carrier concentration at zero gate bias reaches up to  $4 \times 10^{12}/\text{cm}^2$ . In the single crystal FETs the measured transfer characteristics are also shifted, while essentially maintaining the high quality of the subthreshold swing. The shift of the transfer characteristics is governed by the built-in electric field of the SAM and can be explained using a simple energy level diagram. In the thin film devices, the subthreshold region is broadened, indicating that the SAM creates additional trap states, whose density is estimated to be of order  $1 \times 10^{12}/\text{cm}^2$ . © 2004 American Institute of Physics. [DOI: 10.1063/1.1810205]

## I. INTRODUCTION

Organic semiconducting materials are used to fabricate transistors with electronic properties comparable to *a*-Si:H,<sup>1,2</sup> a material often used for back panel circuits of active matrix displays. These comparable electronic characteristics together with the promising low-cost fabrication<sup>3</sup> makes organic materials attractive candidates for use in commercial products. However, to manufacture integrated circuits with organic transistors the precise control of all electrical properties is required. In addition to the charge carrier mobility, the threshold voltage  $V_t$  is an important parameter that needs to be controlled to ensure proper operation of the circuits. The threshold voltage can depend on the time a gate voltage has been applied (bias stress),<sup>4-8</sup> on the exposure of the device to light<sup>9</sup> or it can be shifted using a polarizable gate insulator.<sup>10</sup> Furthermore, a dependence on the work function of the gate electrode<sup>11</sup> and the thickness of the active layer material<sup>12</sup> has been reported. As we will show in this paper, the threshold voltage additionally depends strongly on the preparation of the surface on which the organic material is deposited.

We present an experimental method to systematically study the influence of the surface treatment of the gate insulator on the threshold voltage and other electrical properties of pentacene thin film transistors (TFTs) and rubrene single crystal field effect transistors (FETs). Top contact pentacene

TFTs were fabricated on heavily doped and oxidized silicon wafers. Prior to the pentacene deposition the silicon dioxide gate insulator was treated with solutions of a variety of organosilanes with different degrees of electron acceptance properties. The organosilanes form self-assembled monolayers on the SiO<sub>2</sub> gate insulator and can advantageously modify the electronic properties of thin film transistors.<sup>13,14</sup> The single crystal FETs were fabricated by placing freshly grown crystals onto prepatterned wafers covered with various self-assembled monolayers (SAMs). The SAMs have a built-in dipole field depending on the molecule's functional group and modify the (mobile) charge carrier density. This SAM-induced modification of the charge carrier density in the transistor channel is similar to applying a gate voltage. Both, the threshold voltage and the turn-on voltage are governed by the built-in electric field of the SAM. Similar results for bottom contact transistors have been reported recently by Kobayashi *et al.*<sup>15</sup>

The transfer characteristics of the single crystal devices are shifted by a certain gate voltage depending on the SAM, while maintaining a steep subthreshold swing. The thin film devices however, show a pronounced broadening of the subthreshold region. From this broadening an increased trap density is extracted that can partly be explained by a poor film morphology as observed with x-ray diffraction (XRD) and atomic force microscopy (AFM) measurements, and partly by additional trap states.

## II. EXPERIMENT

Figure 1 shows a schematic device cross section for thin film transistors (panel a) and single crystal FETs (panel b).

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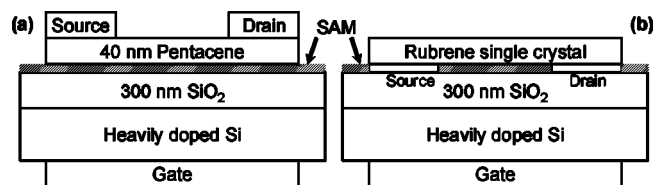


FIG. 1. Schematic device structure of the inverted-staggered pentacene thin film transistors (a) and the rubrene single crystal FETs (b). The molecules used for the self-assembled monolayer (SAM) are shown in Fig. 2.

Heavily doped silicon wafers with a 300 nm thick silicon dioxide insulating layer were used as substrates. The wafers were successively cleaned in hot acetone and hot isopropanol for 3 min in an ultrasonic bath, then with a piranha solution (70 vol % H<sub>2</sub>SO<sub>4</sub>: 30 vol % H<sub>2</sub>O<sub>2</sub>: 30%) for  $\approx 20$  min, and were finally thoroughly rinsed in ultrapure water. The substrates were treated in a glove box with a relative humidity near 3%. The treatment process was optimized for octadecyltrichlorosilane (OTS) and was applied in the same way for the other organosilanes.

To form the SAM, the wafers were immersed for 3 h in a 3 mM solution of the organosilane in anhydrous toluene.<sup>16</sup> Figure 2 shows the molecular structures of the studied organosilanes. After removing the samples from the solution they were cleaned in fresh toluene for 2 min in an ultrasonic bath to remove any excessive layers.<sup>17</sup> We found this step to be crucial for good monolayer formation. The monolayers were then baked on a hot plate for 1 h at 150 °C<sup>18</sup> in the same glove box to enhance cross linking of the organosilane molecules and covalent bond formation to the silica surface.

To fabricate TFTs, the samples were transferred into the deposition chamber where pentacene was deposited at a rate of  $0.3 \pm 0.1$  Å/sec, by thermally evaporating pentacene powder that had previously been purified twice by temperature gradient vacuum sublimation. The nominal thickness of the organic layer was 40 nm and the base pressure of the system

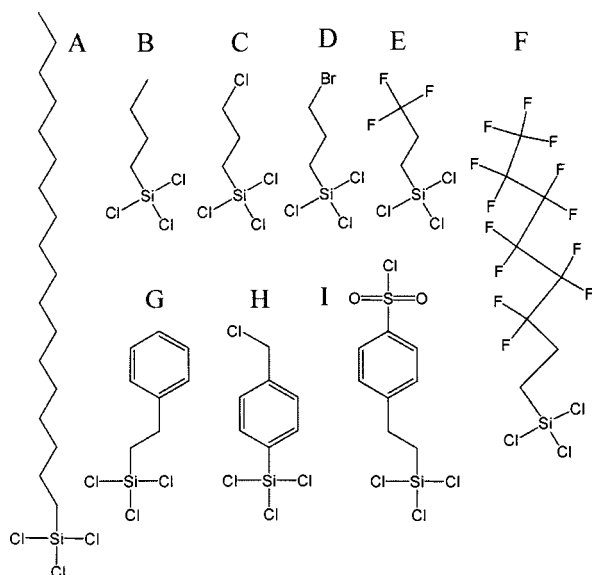


FIG. 2. Molecular structure of the studied organosilanes; carbon and hydrogen atoms are not shown. In monolayer formation, the Cl atoms from the anchor group SiCl<sub>3</sub> are removed and the Si bonds covalently to the SiO<sub>2</sub> surface as well as to neighboring Si atoms.

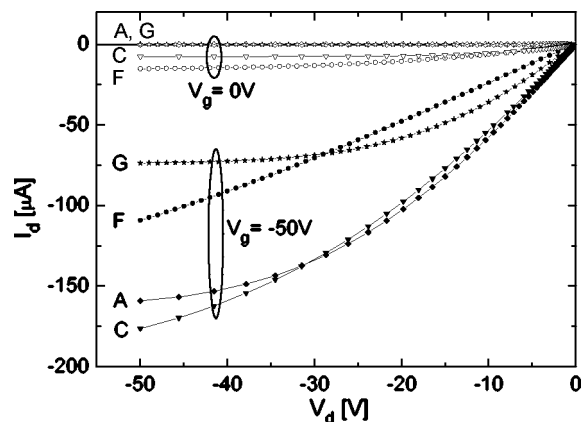


FIG. 3. Output characteristics of TFTs with four different surface treatments (A), (C), (F), (G). At zero gate bias the drain current of (C) and (F) is nonzero, indicating the presence of mobile charge carriers even without gate bias. The drain current of (F) does not saturate at V<sub>g</sub> = -50 V indicating that the transistor is still operating in the linear regime ( $V_d < V_g - V_t$ ). The transistor geometry is  $W = 600$  μm and  $L = 30$  μm. The pentacene was deposited at 50 °C.

was near  $1 \times 10^{-6}$  mbar. Unless otherwise noted, the substrate temperature during deposition was kept at 50 °C. In every deposition batch we deposited pentacene onto eight wafers at a time. Two of those wafers were treated with OTS to check the quality of the fabrication process and the rest were treated with three other organosilanes.

Gold source and drain contacts were deposited through shadow masks at a rate near 1 Å/sec. The channel width  $W$  was 600 μm for all devices while the gate length  $L$  varied from 30 μm to 150 μm. With this configuration we could fabricate six transistors on every wafer. The electrical properties were measured with a HP 4155A semiconductor parameter analyzer, with the samples kept in an argon glove box ( $< 0.1$  ppm H<sub>2</sub>O, O<sub>2</sub>).

For the single crystal experiments, 20 nm thick gold source and drain contacts were evaporated after cleaning the wafers, forming bottom contacts. After finishing the treatment process, rubrene single crystals grown as described in Ref. 19 were carefully placed on the prepatterned structures completing the transistors. The measurement procedure was the same as for the thin film transistors.

### III. RESULTS AND DISCUSSION

The output characteristics of TFTs with four different surface treatments are shown in Fig. 3. A nonzero drain current at zero gate bias is measured for transistors (C) and (F) while it is zero on a linear scale for the OTS treated transistor (A) and transistor (G). This indicates the presence of mobile charge carriers at zero gate bias. The drain current at negative gate bias saturates in transistors with treatments (A) and (G), following the standard metal-oxide-semiconductor field-effect transistor behavior.<sup>20</sup> For transistor (C) this saturation is not as pronounced and for transistor (F) no saturation is observed, indicating a large positive threshold voltage ( $V_t$ ) so that the device is still operating in the linear regime ( $V_d < V_g - V_t$ ).

To illustrate the presence of mobile charge carriers at zero gate bias we show in Fig. 4 the transfer characteristics

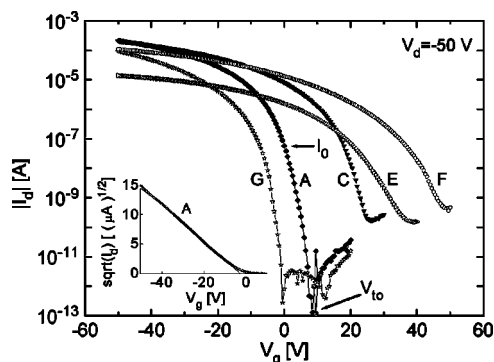


FIG. 4. Transfer characteristics of the same transistors as in Fig. 3 and the transistor with treatment (E). The turn-on voltage shifts towards more positive values for treatments (G), (A), (C), (E), and (F). The increase of  $I_0$  indicates the presence of mobile charge carriers at zero gate bias. The values of  $I_0$  and  $V_{to}$  are marked for treatment (A). The inset shows the extraction of  $V_t$  and  $\mu$ .

as  $\log|I_d|$  versus  $V_g$  for the same transistors as in Fig. 3 and the transistor with treatment (E). The magnitude of the drain current  $I_0$  at  $V_g = 0$  V shows a dependence on the SAM and is marked in Fig. 4 for the OTS (A) treated transistor. In addition to the increase of  $I_0$ , an increased off-current is observed for treatments (C), (E), and (F).

To quantify the measurements we use the turn-on voltage  $V_{to}$ , which is the gate voltage where the drain current starts to increase exponentially. For polymer devices a switch-on voltage was defined in a similar way<sup>21</sup> and marks the flat band condition. The turn-on voltage is marked in Fig. 4 for transistor (A). It is slightly positive for (A)  $V_{to,A} = 8.5$  V which is commonly observed for OTS treated devices, and it increases significantly for treatment (C)  $V_{to,C} = 25$  V, (E)  $V_{to,E} = 36$  V, and (F)  $V_{to,F} = 49$  V. The only treatment with negative turn-on voltage is the phenyl treatment (G)  $V_{to,G} = -1.5$  V, meaning that the transistor is completely switched off at zero gate bias and the transistor is operating entirely as an enhancement mode device, which can be desirable for designing circuits.

Table I summarizes the results for  $V_t$ ,  $V_{to}$ ,  $I_0$ , subthreshold swing  $S$  (for the 300 nm thick  $\text{SiO}_2$  gate insulator) and calculated mobility  $\mu$  for the nine treatments, together with

the water contact angle  $\Theta$  of the treated surface. The threshold voltage was defined as the intercept of a linear least square fit to  $\sqrt{I_d}$  versus  $V_g$  as illustrated in the inset to Fig. 4. The range between 20% and 80% of  $I_{d,max}$  was taken for this fit, and the mobility was calculated from the slope. The subthreshold swing was extracted from the logarithmic plot of the transfer characteristics shown in Fig. 4. The values in Table I represent the average values and the standard deviation measured on typically nine transistors fabricated on two different wafers in the same batch.

We will discuss two mechanisms possibly involved in the shift of the threshold voltage and the turn-on voltage: the influence of the film morphology and the effect of the built-in electric field of the SAM (“SAM-induced charge”).

### A. Influence of the film morphology

The film morphology has been shown to influence the charge carrier mobility.<sup>4,13,22,23</sup> Especially the morphology of the first few monolayers where charge transport occurs<sup>9,24</sup> is expected to strongly influence the mobility.<sup>23,25</sup> To investigate the influence of the film morphology on the threshold and the turn-on voltage, we fabricated transistors with treatments (A), (B), and (I) where the pentacene had been deposited at 30, 50 and 70 °C. Only a weak dependence and no general trend was observed between the film morphology as characterized by AFM measurements and XRD and the threshold and turn-on voltage. Listed in Table II are the mobility, threshold voltage, and turn-on voltage for those transistors, as well as an estimated trap density which is discussed below.

Pentacene films deposited at higher substrate temperature often consist of large grains.<sup>26</sup> The larger grain size forming at higher temperatures on OTS treated substrates is shown in the topography images in Fig. 5(a)–5(c). The images show evidence of lamellar growth and the brightest spots mark grains with a height well above the average film thickness. Those grains consist presumably of flat lying pentacene molecules.<sup>22,23</sup> The height of those grains increases with increasing deposition temperature, indicating a rapid growth of grains in the  $a$ - $b$  plane. A typical image of a film deposited onto a phenyl treated (G) substrate is shown in Fig.

TABLE I. Summarized properties resulting from the different surface modifications.  $\Theta$  is the average contact angle of water with the surface measured on two different substrates.  $\mu$  is the charge carrier mobility,  $V_t$  the threshold voltage, and  $V_{to}$  the turn-on voltage of the TFTs.  $S$  is the subthreshold swing (300 nm  $\text{SiO}_2$ ) and  $I_0$  is the drain current at zero gate bias. The given values represent the mean value (standard deviation) over typically nine transistors fabricated on two different substrates in the same batch.

	$\Theta$ (deg)	$\mu$ ( $\text{cm}^2/\text{V s}$ )	$V_t$ (V)	$V_{to}$ (V)	$S$ (V/dec.)	$ I_0 $ (A)
(A) Octadecyltrichlorosilane	95	0.96(16)	-3.7(1.0)	4.7	0.9	$10^{-8}$
(B) Butyltrichlorosilane	93	0.61(11)	-4.3(0.5)	4.7	1.1	$10^{-8}$
(C) 3-Chloropropyltrichlorosilane	75	0.71(09)	1.5(1.8)	16	1.8	$10^{-6}$
(D) 3-Bromopropyltrichlorosilane	80	0.74(13)	2.8(2.8)	17	2	$10^{-6}$
(E) Trichloro(3,3,3-trifluoropropyl)silane	91	0.03(0.1)	22.7(5.2)	33	4.9	$10^{-7}$
(F) 1H,1H,2H,2H-Perfluorooctyl-trichlorosilane	105	0.15(0.2)	26(2.0)	44	4.9	$10^{-6}$
(G) Phenethyltrichlorosilane	92	0.71(11)	-12.7(1.2)	-1.5	0.9	$10^{-12}$
(H) 4-(Chloromethyl)phenyltrichlorosilane	88	0.56(12)	-7(1)	4	1.2	$10^{-8}$
(I) 2-(4-Chlorosulfonylphenyl)ethyltrichlorosilane	90	0.36(05)	25(3)	49	4.4	$10^{-5}$

TABLE II. Charge carrier mobility  $\mu$ , threshold voltage  $V_t$ , turn-on voltage  $V_{to}$  and estimated trap density  $N_{trap}$  of transistors with pentacene films deposited at three different substrate temperatures. The gate insulator was treated with (A), (B), and (I). Except the mobility no parameter is significantly affected by the different deposition temperatures hence the film morphology, demonstrating the dominant effect to be the treatment with the different organosilanes. The trap density is estimated from the threshold voltage above turn-on voltage.

	T (°C)	$\mu$ (cm <sup>2</sup> /V s)	$V_t$ (V)	$V_{to}$ (V)	$N_{trap}$ (10 <sup>12</sup> /cm <sup>2</sup> )
(A)	30	0.4(1)	-8(3)	2(5)	0.7(6)
	50	0.9(1)	-4(1)	4(2)	0.6(2)
	70	1.3(2)	-10(2)	0(3)	0.7(4)
(B)	30	0.6(1)	-11(1)	-1(1)	0.9(1)
	50	0.7(1)	-4(0.5)	5(2)	0.6(2)
	70	0.9(1)	-11(1)	0(0.5)	0.8(1)
(I)	30	0.4(.05)	24(6)	45(8)	1.5(1.0)
	50	0.4(.05)	25(3)	48(2)	1.6(4)
	70	0.3(.02)	26(2)	50(1)	1.7(2)

5(d) and reveals larger grains than obtained for films on OTS treated substrates held at the same deposition temperature

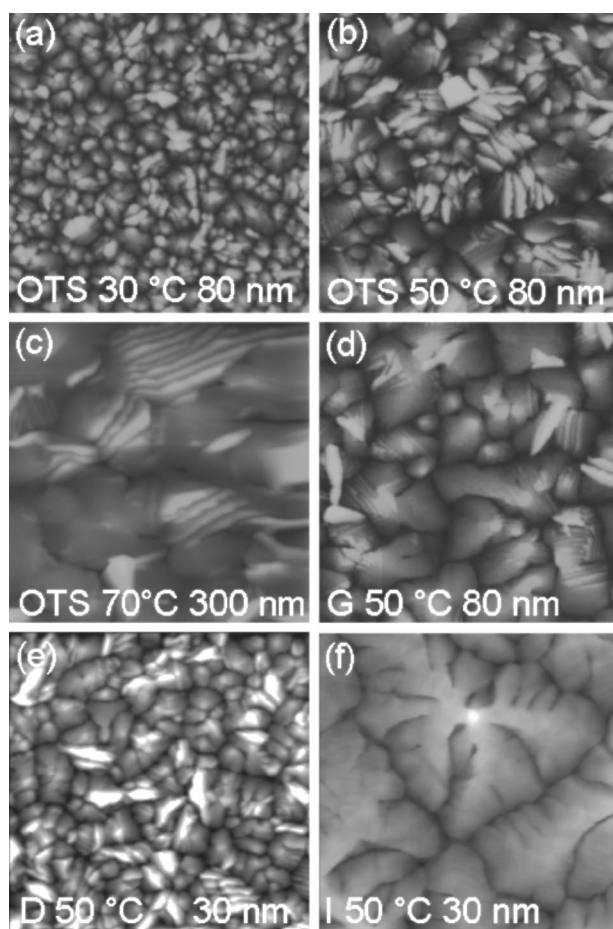


FIG. 5. Topographical images of pentacene films deposited at various substrate temperatures and substrate treatments as indicated in the images. The scan area is  $5 \times 5 \mu\text{m}^2$  for all images. The grain size increases with temperature (a)–(c), and the brightest spots mark grains that presumably consist of flat lying molecules. For the phenyl treatment (d) the grain size increases with respect to the OTS counterpart (b), simultaneously decreasing the density of edge oriented grains. Although the grain size in (e) is smaller than in (f) the mobility of transistors fabricated on (D) is larger than on (I).

[cf. Fig. 5(b)]. Additionally, the density of edge oriented grains (resulting from flat lying molecules) is smaller for films deposited onto substrates treated with (G). In Figs. 5(e) and 5(f) topography images of films deposited onto substrates treated with (D) and (I) show an opposite relationship between the mobility and the grain size: although the grain size of film (D) is smaller compared to (I), the transistors on (D) show a larger mobility than the one on (I) (cf. Table I). It is worthwhile emphasizing that the film morphology as observed with AFM does not necessarily reflect the microstructure of the first few monolayers of pentacene that form the electrically active channel.

The XRD patterns in Fig. 6 reveal the overall difference in film morphology: pentacene films generally show two distinct crystalline phases with different  $d$  spacings, a “thin film phase” with  $15.4 \text{ \AA}$  ( $00\ell'$ ) and a “single crystal phase” with  $14.4 \text{ \AA}$  ( $00\ell$ ).<sup>27–29</sup> The films deposited at  $30 \text{ }^\circ\text{C}$  crystallize in the thin film phase only, while the single crystal phase is more prevalent in the films deposited at higher substrate temperatures<sup>30</sup> [cf. Fig. 6(a)]. For the OTS devices, the hole mobility is slightly higher in the latter as can be seen from Table II. This is in agreement with results reported in, e.g., Ref. 4, and is presumably due to better overlap of the  $\pi$  orbitals<sup>31</sup> of the pentacene molecules. In Fig. 6(b) the mixture of the thin film phase and the single crystal phase is shown for treatments (B), (C), (E), and (H). Here the pentacene films have been deposited on substrates held at  $50 \text{ }^\circ\text{C}$  and interestingly, a different trend is observed for treatments (C) and (B): TFTs on SAM (B) show a lower mobility although the single crystal phase is more dominant. From a comparison of the results in Table II we conclude that the variations of the TFT characteristics ( $\Delta V_t, \Delta V_{to}$ ) are dominated by the particular organosilanes forming the SAM and not by the overall film morphology as probed by XRD and AFM. This conclusion is supported by the single crystal experiments described later.

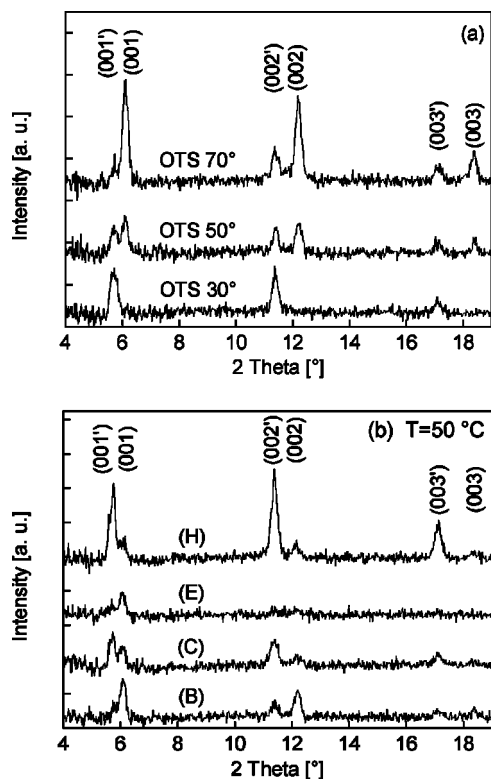


FIG. 6. X-ray diffraction pattern of 40 nm thick pentacene films deposited onto OTS treated substrates at 30, 50 and 70 °C (a), and on substrates treated with (B), (C), (E), and (H) held at 50 °C (b). The single crystal phase becomes more dominant with higher deposition temperatures (a). The abundance of the thin film phase and single crystal phase varies with the surface treatment (b), indicating differences in overall film morphology.

## B. Effect of the SAM's dipole field

The observed shifts in the electrical characteristics correspond to the electron acceptance properties of the organosilane molecule's end group. For treatment (C) with the  $\text{CH}_2\text{Cl}$  end group for instance, this means that electrons from the pentacene film are attracted by the SAM leaving behind mobile holes in the channel. Thus a more positive gate bias is needed to switch off the device, i.e.,  $V_{io}$  shifts towards more positive values.

The electronegativity of the molecule's functional group influences the charge distribution within the molecule and can lead to the formation of an electric dipole. Campbell *et al.*<sup>32</sup> calculated the charge distribution within similar molecules using an *ab initio* scheme and found a dipole moment whose strength depends on the functional group of the investigated molecule. When such molecules form a SAM the molecular dipoles give rise to a net polarization of the SAM that changes the surface potential<sup>33</sup> as verified with Kelvin-probe measurements in Ref. 32 and by Kelvin-probe force microscopy in Ref. 34. In Ref. 34 the authors calculated dipole moments of 0.5 and  $-1$  D for isolated molecules similar to (A) and (F), and measured a surface potential difference of  $\approx 0.2$  V between the corresponding SAMs formed on  $\text{SiO}_2$ . Assuming the thickness of the SAMs to be 2 nm, this corresponds to an electric field of 1 MV/cm. To produce the same field by applying a voltage across the 300 nm thick  $\text{SiO}_2$  gate insulator a gate voltage of 30 V is necessary,

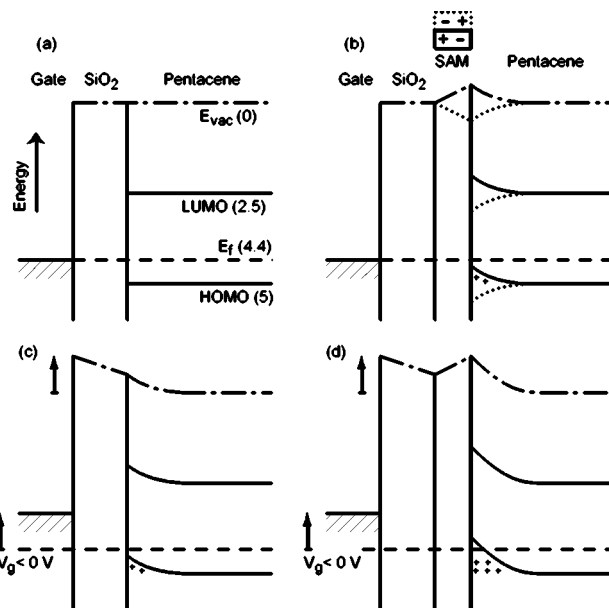


FIG. 7. Schematic energy level diagram suggested for surface treated TFTs. For an untreated  $\text{SiO}_2$  surface the vacuum levels of the  $\text{SiO}_2$  and the pentacene are aligned and no band bending occurs (a). In (c) a negative gate voltage is applied shifting the gate electrode's Fermi level towards higher energies and bending the HOMO and LUMO levels of the pentacene. In (b) the permanent dipole field of the SAM shifts the surface potential which has the same effect as applying a gate voltage. In (d) a combination of (b) and (c) is shown. The numbers are taken from Ref. 36 and are given in eV.

which corresponds well with the shifts in transfer characteristics we measure in our devices (cf. Table I).

In the presented situation the charge density, respectively, the energy levels need to be considered in a self-consistent way, resulting from the properties of the individual molecule in the SAM attached to silica, and the adjacent pentacene molecules. This is important because it has been shown in, e.g., Ref. 35 that the electronic properties of a close-packed organized organic monolayer can differ from the properties of the isolated molecule. Additionally our samples were exposed to ambient air where water can adsorb on the surface which might affect the effective dipole strength of the SAM.

The change in surface potential modifies the interface properties as illustrated in the schematic band diagram shown in Fig. 7. When pentacene is deposited onto  $\text{SiO}_2$  under UHV conditions, the vacuum levels are aligned and no bending of the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) level occurs<sup>36</sup> as illustrated in Fig. 7(a). For simplicity, only the gate electrode's Fermi level is shown. When a negative gate voltage is applied the Fermi level of the gate electrode shifts towards higher (electron) energies. Part of the applied gate voltage is dropped across the gate insulator, and since the band alignment of the HOMO and LUMO level is fixed with respect to the vacuum level, the remaining gate voltage bends the HOMO and the LUMO levels. Therefore mobile charge carriers can accumulate and form the conducting channel. For a SAM with a permanent electric dipole field inserted between the gate insulator and the pentacene, the situation is as illustrated in Fig. 7(b): the dipole field of the SAM modifies the surface potential which has the same ef-

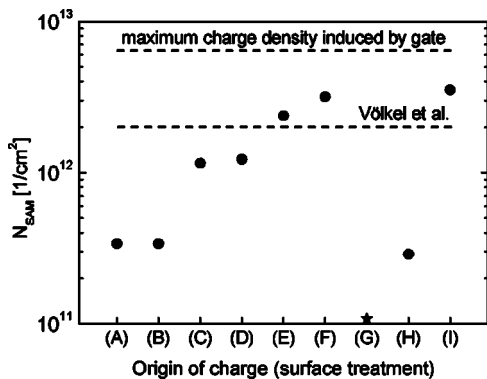


FIG. 8. Induced charge carrier density by the different SAMs on the gate insulator. Round symbols represent hole accumulation and the star represents hole depletion. The maximum charge carrier density induced by the gate was obtained using a gate field of 3 MV/cm. The value marked as “Völkel *et al.*” is deduced from simulations in Ref. 9.

fect as applying a (negative) gate voltage. The solid curves in Fig. 7(b) appear to be valid for all treatments except the phenyl treatment (G). For this treatment the situation may be depicted with the dotted curves where the majority carriers are depleted. Figures 7(c) and 7(d) depict the situation where a negative gate voltage is applied to devices with [Fig. 7(d)] and without [Fig. 7(c)] a SAM: the gate voltage rises the vacuum level of the gate insulator and additionally it is raised by the permanent dipole field of the SAM, resulting in an increased band bending and therefore in an increased hole density in the channel. As a consequence, the turn-on and the threshold voltage are determined by the surface potential of the layer next to the transistor channel. We emphasize that any surface charge present at the gate insulator due to a contact potential<sup>37</sup> or imperfections such as oxygen (OH groups), water molecules,<sup>38</sup> or mobile ions<sup>39</sup> also influences the surface potential and therefore influences the threshold voltage and the turn-on voltage; especially in devices with untreated oxide.<sup>23</sup> Thus Fig. 7 may capture only part of the total situation relevant for the device performance.

The mobile charge carrier density induced by the SAM ( $N_{SAM}$ ) is shown for the various SAMs in Fig. 8. The density was estimated using  $N_{SAM} = C_{ox} |V_{to}|/e$ , with  $C_{ox} = 11.5$  nF/cm<sup>2</sup> being the measured insulator capacitance per unit area and  $e$  the elementary charge. The turn-on voltage is chosen as it is a measure of the hole concentration in the channel at zero gate bias: applying the turn-on voltage to the gate electrode depletes the channel and the bulk pentacene as much as possible. Using the flat band voltage would give a more accurate estimate but it is not accessible from our measurements. Since the pentacene films are assumed to be thinner than the screening length near flat band condition,<sup>40</sup> we expect that the turn-on voltage is very close to the flat band voltage. The maximum carrier concentration corresponds to about one induced mobile hole per 100 SAM molecules, assuming a surface density of the SAM molecules of  $4 \times 10^{14}$ /cm<sup>2</sup>.<sup>41</sup>

### C. Density of states, threshold voltage, and additional trap states

A more microscopic approach taking into account the imperfections of the semiconductor is desirable. Following

Völkel *et al.*<sup>9</sup> the mobile holes observed at zero gate bias can be modeled using electron acceptor states in the band gap close to the HOMO level. Such acceptor states move the Fermi level closer to the HOMO level by changing the thermodynamic equilibrium position of the Fermi level close to the gate insulator. Völkel *et al.*<sup>9</sup> used a one-dimensional transistor model to study the effects of localized band-gap states on the electrical characteristics of pentacene TFTs. The authors introduced acceptor states at the interface layer next to the gate insulator in order to explain their observed shifts in turn-on voltage, and donor states to account for the shifts in threshold voltage. A total trap density of  $4.8 \times 10^{18}$ /cm<sup>3</sup> accounts for the observed shifts. Assuming a channel thickness of 5 nm and a homogeneous charge carrier density in the channel, the acceptor concentration in their model corresponds to a surface charge density of  $2.4 \times 10^{12}$ /cm<sup>2</sup>, close to our results given in Fig. 8.

With increasing negative gate voltage, more trap states are filled. If all deep traps are filled and the local Fermi energy in the channel is in the energy range of the transport level (the energy at which thermal activation begins to predominate)<sup>42,43</sup> the threshold voltage is reached.<sup>44,45</sup> Horowitz and Delannoy expressed this condition as the equilibrium between trapped and mobile carriers<sup>46</sup> (for a refinement see Ref. 47). Therefore the threshold voltage is tied to the turn-on voltage via the trap density, and the threshold voltage above turn-on voltage ( $V_{to} = V_t - V_{to}$ ) is an estimate of the trap density in the channel.

Estimating the number of trap states from the threshold voltage above turn-on voltage we find the total trap density  $N_{trap}$  using  $N_{trap} = C_{ox} |V_{to}|/e$  where  $C_{ox}$  is again the oxide capacitance per unit area. This results in a trap density of  $0.5 - 2 \times 10^{12}$ /cm<sup>2</sup>. Taking into account only transistors with mobilities greater than 0.5 cm<sup>2</sup>/V s the trap density in the channel is estimated to be  $0.5 - 1 \times 10^{12}$ /cm<sup>2</sup>. The values are in good agreement with values derived from simulations reported in Ref. 9.

The origin of the increased trap density cannot clearly be revealed by these experiments. Increased trap densities were also found in polymer devices with a high- $k$  gate insulator compared to low- $k$  gate insulators and were ascribed to a dipolar disorder caused broadening of the Gaussian distributed transport states.<sup>48</sup> In Ref. 49 the authors report on dipole impurities in anthracene single crystals and suggest that traps are formed as a result of the interaction of carriers with the dipole moment of the impurities. Similarly, the introduction of dipole moments between gate insulator and pentacene might change the local polarization of individual pentacene molecules, therefore introducing new trap states.<sup>50</sup>

### D. Single crystal experiments

To verify that the dipole field of the SAM governs the turn-on voltage, and to test whether or not the strong dipole moment of the SAM molecules can influence the trap distribution of single crystal FETs (SC-FETs) we fabricated SC-FETs using the “flip-crystal” technique.<sup>51,52</sup> Rubrene crystals were used because large planar crystals can be grown as described in e.g., Ref. 19, and because rubrene shows a very

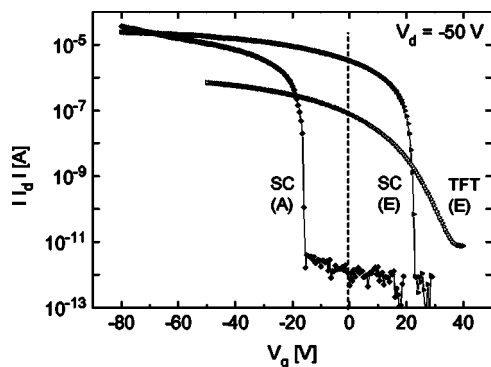


FIG. 9. Transfer characteristics of rubrene single crystal FETs treated with (A) and (E) and of a typical pentacene thin film device treated with (E). The turn-on voltage shifts towards more positive gate voltages for treatment (E). For the single crystal devices the off-current is lower and the subthreshold swing is steeper than for the thin film device. The curve for the thin film transistor was shifted to correspond to the same  $W/L$  ratio as in the single crystal FETs.

high mobility.<sup>53,19,54</sup> The wafers were treated with (A) or (E) and the resulting transistors have on/off ratios  $> 10^7$  and a mobility  $> 1 \text{ cm}^2/\text{V s}$ , indicating that the presence of the SAM has little or no influence on the effective mobility. It also suggests that the low mobility of TFTs with treatment (E) is probably caused by a poor molecular ordering as revealed by XRD measurements.

The transfer characteristics of the SC-FETs in Fig. 9 are offset by 39 V while basically maintaining the shape of the subthreshold region. Similar results for SC-FETs have recently been reported by Takeya *et al.*<sup>55</sup> Also in Fig. 9, we show the transfer characteristic of a typical thin film device with treatment (E). The curve for the thin film transistor was normalized to account for the different  $W/L$  ratios. A detailed analysis of the subthreshold region shows a very steep subthreshold swing of 0.3 V/decade (300 nm  $\text{SiO}_2$ ) for the SC-FET treated with (E), and a slightly larger subthreshold swing of 0.5 V/decade for the SC-FET with (A). The significantly steeper subthreshold swing of the single crystal devices compared to that of the thin film devices indicates a significantly lower trap density for single crystal FETs.

The off current in both single crystal devices is similar, while it is an order of magnitude higher in the thin film device, indicating that the off current in the TFT could be limited by bulk traps.<sup>9,56</sup>

While the behavior of the thin film devices can be modeled using a flexible density of states model as shown in Ref. 9 and 56, modeling the single crystal devices proves difficult. However, shifting the transfer characteristic by  $\Delta V_g \approx 40 \text{ V}$  while maintaining a steep subthreshold swing is difficult to achieve in a “trap-only” model, since the amount of acceptor states necessary to shift the turn-on voltage also degrades the subthreshold swing. We take this as compelling evidence that the observed shifts of the threshold voltage and the turn-on voltage are caused by the built-in electric field of the self-assembled monolayers.

#### IV. CONCLUSIONS

We fabricated pentacene thin film transistors and rubrene single crystal FETs incorporating nine organosilanes with

different functional groups. The organosilanes form self-assembled monolayers on the  $\text{SiO}_2$  gate insulator and have various dipole moments depending on the electron acceptance properties of their functional group. We find the dipole moment of the SAM modifies the surface potential of the layer next to the transistor channel and induces mobile charge carriers at zero gate bias. This manifests itself in a shift of the transfer characteristics. A simple energy level diagram is used to explain these observations. Similar shifts have been modeled by Völkel *et al.*<sup>9</sup> using appropriate trap state distributions. From the difference between the threshold voltage and the turn-on voltage we estimate the trap density in the thin film FETs to be of order  $1 \times 10^{12}/\text{cm}^2$ , while a lower trap density is found for rubrene single crystal FETs. The single crystal experiments clearly show that the built-in electric field of a self-assembled monolayer next to the transistor channel acts as a gate bias and modulates the charge carrier density.

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